

#2  
6-17-02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Scott E. Spence

Serial No.: Not yet assigned

Art Unit: Not yet assigned

Filed: Concurrently

Examiner: Not yet assigned



For: **IRREGULAR OPTICAL INTERCONNECTIONS TO COMPENSATE FOR NON-UNIFORMITIES IN ANALOG OPTICAL PROCESSORS**

Docket No.: 82983

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Sir:

1. Transmitted herewith is a copy of PTO Form 1449 and copies of supporting documentation for this application.

2. No additional fee for claims or extension is believed to be required; however, if any additional extension and/or fee is required, please charge such fees to Deposit Account No. 50-0967.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "James B. Bechtel".

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Office of Counsel (Patents) (Code CD222)  
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Reg. No. 29,890  
Customer No. 23501

CERTIFICATE OF MAILING VIA EXPRESS MAIL

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as to be sent by first class in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Date: October 15, 2001

Deborah A. Grigsby

Form PTO-1449 (Modified)  
 PATENTS AND PUBLICATIONS  
 FOR APPLICANT'S INFORMATION  
 DISCLOSURE STATEMENT  
 Use several sheets if necessary

ATTY. Docket NO.  
 82983

SERIAL NO.  
 Not yet assigned

APPLICANT  
 Spence, Scott E.  
 FILING DATE  
 Concurrently



REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,544,229	10/1/85	Verber	350	96.14	
	AB	4,963,725	10/16/90	Hong et al.	250	201.9	
	AC	5,134,686	07/28/92	Jutamulia et al.	395	25	
	AD	5,434,426	7/18/95	Furuyama et al.	250	551	
	AE	5,497,253	3/5/96	Stoll et al.	359	29	
	AF	5,539,543	7/23/96	Liu et al.	359	15	
	AG	6,008,918	12/28/99	Kanterakis et al.	359	117	
	AH	6,016,370	1/18/00	Hatakeyama et al.	382	299	
	AI	5,541,914	7/30/96	Krishnamoorthy et al.	370	56	
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
	AR	Applied Optics, Vol. 30, No. 8, 10 March 1991, "Information Processing"
	AS	
	AT	

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Information Disclosure Statement - Section 9 PTO-1449 (Modified) [6-1] page 01 of 02)

10 Identification of Person(s) Making this INFORMATION DISCLOSURE STATEMENT

Note: 37 CFR 1.56(b) states: "Disclosures ... may be made to the Office through an attorney or agent having responsibility for the preparation or prosecution of the application or through an inventor who is acting on his or her own behalf."

The person making this statement is

(Check each applicable item (a) and (b))

(a) ☐ the inventor(s) who signs below

\_\_\_\_\_  
SIGNATURE OF INVENTOR

\_\_\_\_\_  
Type name of inventor who is signing

(b) ☒ the attorney who signs below on the basis of:  
(Check each applicable item)

☐ the information supplied by the inventor(s)

☐ which has been reviewed by the attorney

☐ which has **not** been reviewed by the attorney

☒ the information in the attorney's file

  
\_\_\_\_\_  
SIGNATURE OF ATTORNEY

James B. Bechtel, Esq.

Registration No. 29,890

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